



## **SEC Rule 11Ac1-3 Disclosure**

Rice Financial Products Company is a registered broker-dealer that considers many factors in how it manages its order routing procedures to National Securities Exchanges, Alternative Trading Systems and other broker-dealers in order to achieve best execution for its customers. As such, Rice Financial may receive a rebate and/or incur a fee while routing an order to an exchange or market center. The fee or payment depends on the type of order received and the handling of such orders. Details are available upon written request.

Please send all inquiries to:

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*All securities transactions are conducted through Rice Securities, LLC, MEMBER: FINRA/SIPC, d/b/a Rice Financial Products Company.  
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